

INDEPENDENT ETHICS COMMITTEE Cavite (046) 481-8000/ Manila (02) 988-3100 Local 8042

DLSMHSI-IEC Form 3K/V2/2019 Standard Operating Procedures Effective Date: 01 October 2015

CLOSE-OUT FORM (3D/V1/2015)

To the Principal Investigator:

Please be advised that upon study completion or site closure, a Close-out Report must be submitted for review and approval. IEC retention period of study files is three (3) years following study closure.

Please obtain an electronic copy of this Form, fill-out the requested information, and make your submission both in electronic version and hard copy. Print in letter-sized paper with printer default set at A4.

I. PROTOCOL INFORMATION	IEC Protocol Tracking No	
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Study Protocol No.	Protocol Approval Date: <dd mm="" yy=""></dd>	
Study Initiation Date: <dd mm="" yy=""></dd>	Expected End Date: <dd mm="" yy=""></dd>	
Title:	Version Number, Date	
Name of Principal Investigator	Contact Nos.:	
Sponsor/CRO		
Study Site		
Type of Review (To be determined by IEC)		
Full Board		

11.	INFORMATION REQUIRED	Close-Out REPORT SUBMISSION DATE <dd mm="" yy=""></dd>
		Response/ Comments
1.	Continuing Review Application submission date and IEC recommendation	
2.	Protocol Amendments, if any, and date(s) of approval	
3.	Study Objectives	
4.	Duration of the study	
5.	Number of study arms	
6.	Total number of participants approved for recruitment	
7.	Total number of participants recruited	
8.	Number of patients withdrawn, if any, and reason(s) for their	

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withdrawal	
9. Number and nature of protocol deviations/violations, if any	
 10. Were all SAEs reported to IEC? Yes NO (provide a summary describing the number and nature of the unreported SAEs) 	
11. Summary of participant's queries, complaints/grievances, if any, regarding conduct of the study	
12. Difficulties encountered during the study, if any	
I declare that the above information/statements are true and c	orrect to the best of my knowledge.
Signature Over Printed Name of Principal Investigator	Date:

III. IEC RECOMMENDATION	Specifics
 NO FURTHER ACTION REQUIRED REQUEST INFORMATION RECOMMENDED FURTHER ACTION 	
Reviewer Primary Secondary	Reviewer's Signature Over Printed Name Date